

# FUNDAMENTAL OF DATA STRUCTURES: DESIGN AND ANALYSIS

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June 13, 2018

## SCOPE OF THE LECTURE

- ▶ **BINARY SEARCH TREES, RANGE TREES AND KD-TREES**

We consider 1-d and 2-d range queries for point sets.

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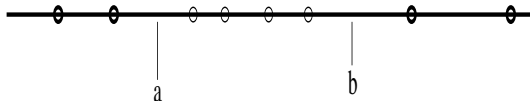
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- ▶  **$\frac{1}{r}$ -CUTTINGS, MANY FACES COMPLEXITY, INCIDENCES**

Planar point location

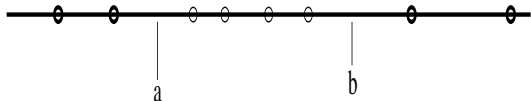
# 1-DIMENSIONAL RANGE SEARCHING



- ▶ Problem: Given a set  $P$  of  $n$  points  $\{p_1, p_2, \dots, p_n\}$  on the real line, report points of  $P$  that lie in the range  $[a, b]$ ,  $a \leq b$ .

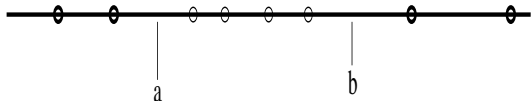


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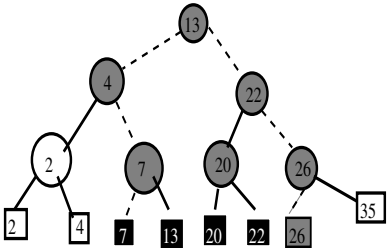
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- ▶ Using binary search on an array we can answer such a query in  $O(\log n + k)$  time where  $k$  is the number of points of  $P$  in  $[a, b]$ .

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- ▶ Using binary search on an array we can answer such a query in  $O(\log n + k)$  time where  $k$  is the number of points of  $P$  in  $[a, b]$ .
- ▶ However, when we permit insertion or deletion of points, we cannot use an array answering queries so efficiently.

# 1-DIMENSIONAL RANGE SEARCHING

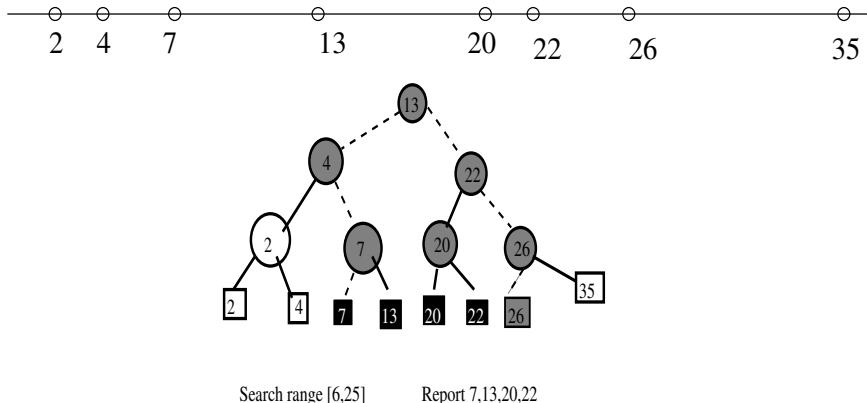


Search range [6,25]

Report 7,13,20,22

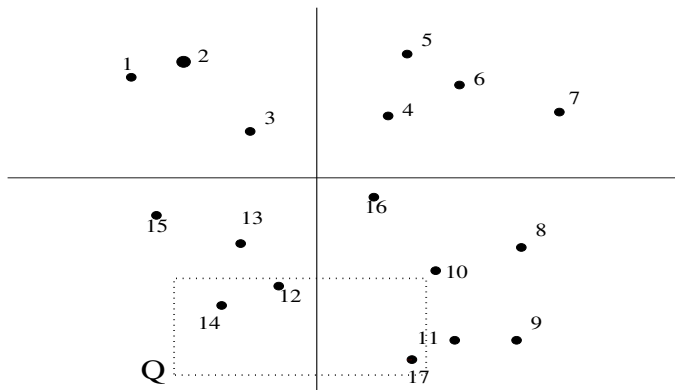
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# 1-DIMENSIONAL RANGE SEARCHING



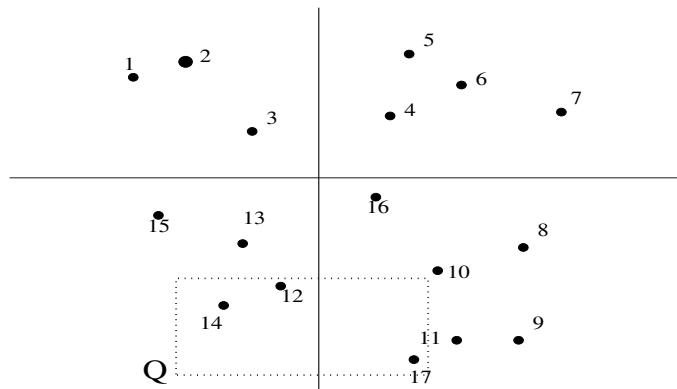
- ▶ We use a *binary leaf search tree* where leaf nodes store the points on the line, sorted by x-coordinates.
- ▶ Each internal node stores the x-coordinate of the rightmost point in its left subtree for guiding search.

## 2-DIMENSIONAL RANGE SEARCHING



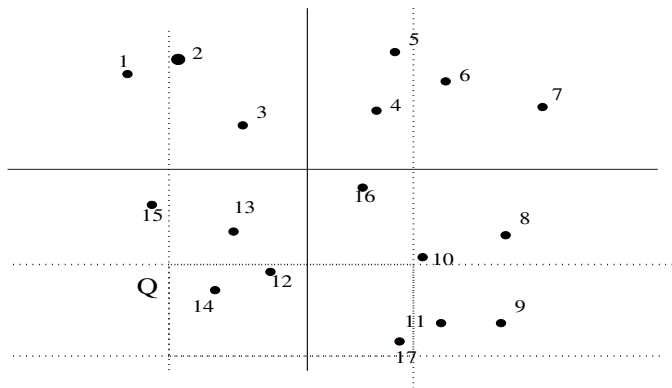
- ▶ Problem: Given a set  $P$  of  $n$  points in the plane, report points inside a query rectangle  $Q$  whose sides are parallel to the axes.

## 2-DIMENSIONAL RANGE SEARCHING



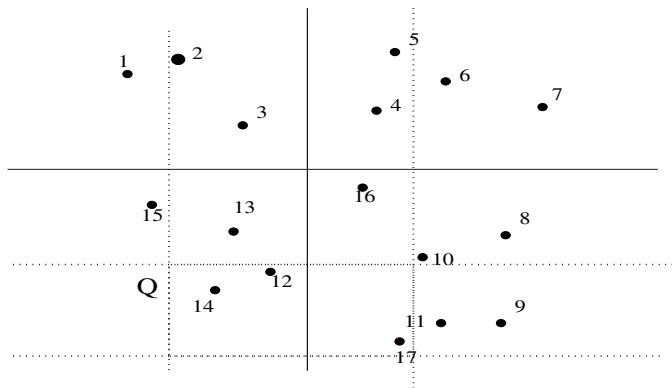
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- ▶ Here, the points inside  $R$  are 14, 12 and 17.

## 2-DIMENSIONAL RANGE SEARCHING



- ▶ Using two 1-d range queries, one along each axis, solves the 2-d range query.

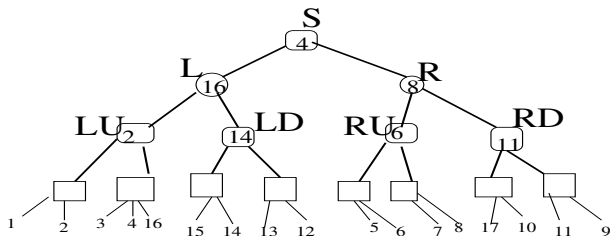
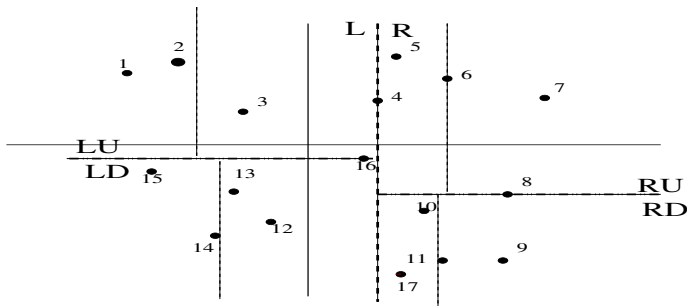
## 2-DIMENSIONAL RANGE SEARCHING



- ▶ Using two 1-d range queries, one along each axis, solves the 2-d range query.
- ▶ The cost incurred may exceed the actual output size of the 2-d range query.



# 2-DIMENSIONAL RANGE SEARCHING: KD-TREES



## 2-DIMENSIONAL RANGE SEARCHING

- ▶ The tree  $T$  is a perfectly height-balanced binary search tree with alternate layers of nodes spitting subsets of points in  $P$  using  $x$ - and  $y$ - coordinates, respectively as follows.

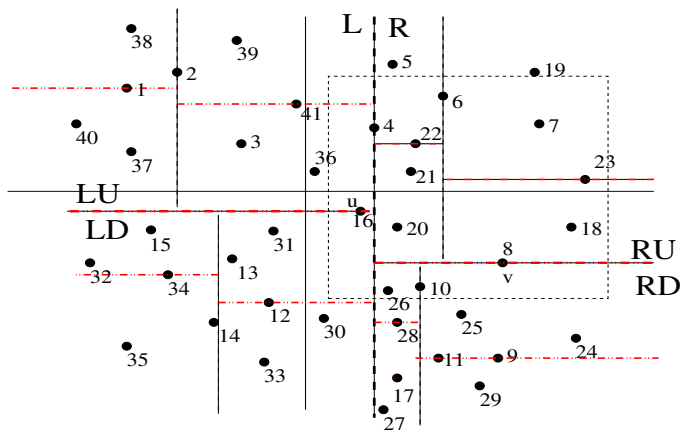
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- ▶ The point  $r$  stored in the root vertex  $T$  splits the set  $S$  into two roughly equal sized sets  $L$  and  $R$  using the median  $x$ -coordinate  $x_{median}(S)$  of points in  $S$ , so that all points in  $L$  ( $R$ ) have abscissae less than or equal to (strictly greater than)  $x_{median}(S)$ .

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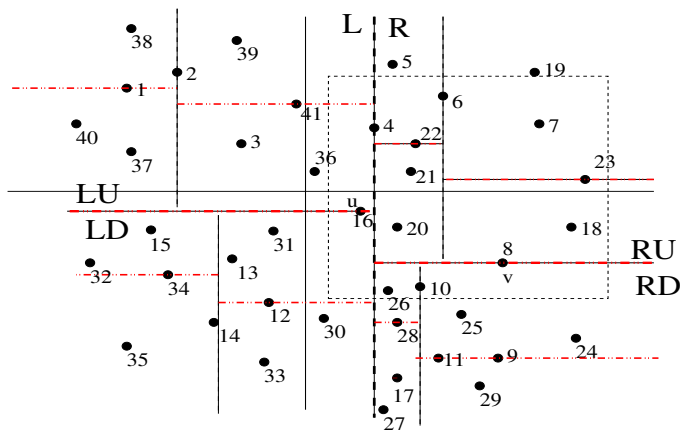
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- ▶ The entire plane is called the *region*( $r$ ).

# ANSWERING RECTANGLE QUERIES



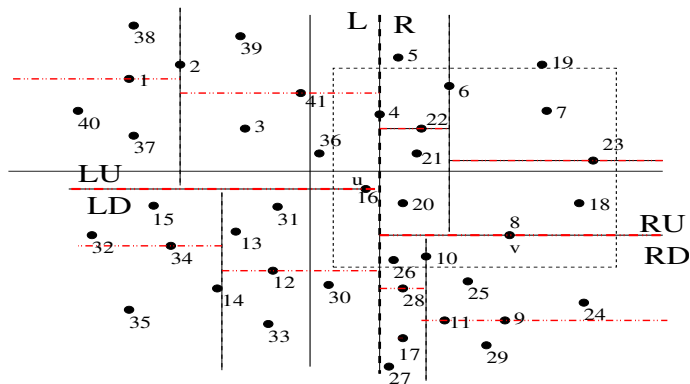
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# ANSWERING RECTANGLE QUERIES



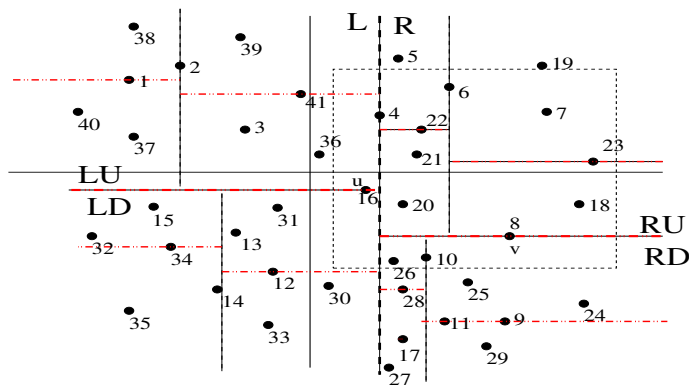
- ▶ A query rectangle  $Q$  may overlap a region or completely contain a region.
- ▶ If  $R$  contains the entire bounded  $region(p)$  of a point  $p$  defining a node of  $T$  then report all points in  $region(p)$ .

# 2-DIMENSIONAL RANGE SEARCHING: KD-TREES [1]



- ▶ The set  $L$  ( $R$ ) is split into two roughly equal sized subsets  $LU$  and  $LD$  ( $RU$  and  $RD$ ), using point  $u$  ( $v$ ) that has the median  $y$ -coordinate in the set  $L$  ( $R$ ), and including  $u$  in  $LU$  ( $RU$ ).

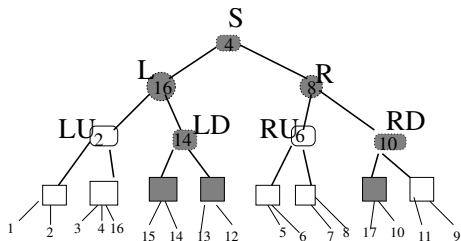
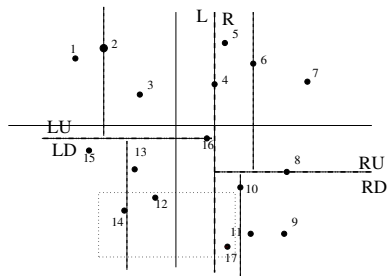
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- ▶ The entire halfplane containing set  $L$  ( $R$ ) is called the *region*( $u$ ) (*region*( $v$ )).



# TIME COMPLEXITY OF RECTANGLE QUERIES



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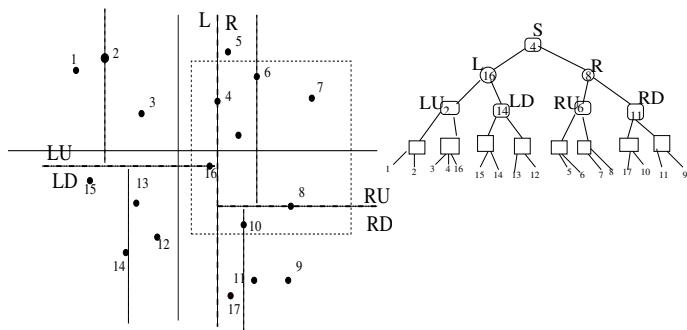
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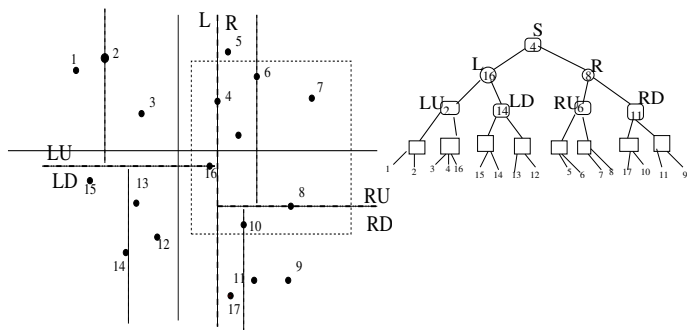
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- ▶ This cost is borne for all leaf level regions intersected by  $R$ .

# TIME COMPLEXITY OF TRAVERSING THE TREE



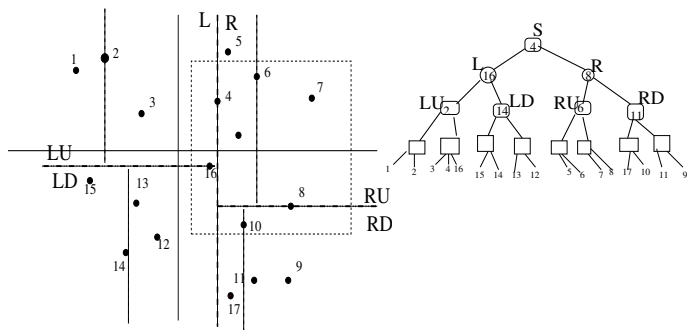
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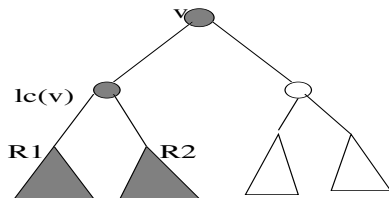
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- ▶ Any horizontal line intersecting  $R$  can intersect either  $RU$  or  $RD$  but not both, but it can meet both children of  $RU$  ( $RD$ ).



## TIME COMPLEXITY OF RECTANGLE QUERIES

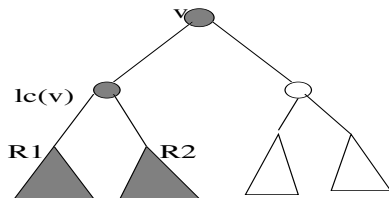


- ▶ Therefore, the time complexity  $T(n)$  for an  $n$ -vertex Kd-tree obeys the recurrence relation

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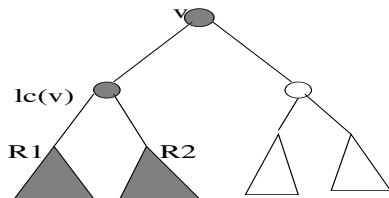
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- ▶ The solution for  $T(n) = O(\sqrt{(n)})$ .
- ▶ The total cost of reporting  $k$  points in  $R$  is therefore  $O(\sqrt{(n)} + k)$ .

# RANGE SEARCHING WITH KD-TREES AND RANGE TREES

- ▶ Given a set  $S$  of  $n$  points in the plane, we can construct a Kd-tree in  $O(n \log n)$  time and  $O(n)$  space, so that rectangle queries can be executed in  $O(\sqrt{n} + k)$  time. Here, the number of points in the query rectangle is  $k$ .

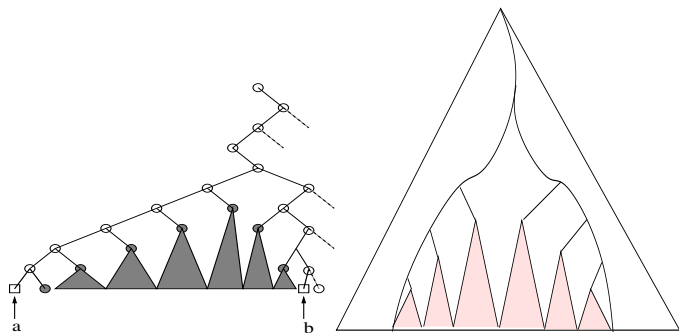
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- ▶ Given a set  $S$  of  $n$  points in the plane, we can construct a range tree in  $O(n \log n)$  time and space, so that rectangle queries can be executed in  $O(\log^2 n + k)$  time.

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- ▶ The query time can be improved to  $O(\log n + k)$  using the technique of *fractional cascading*.

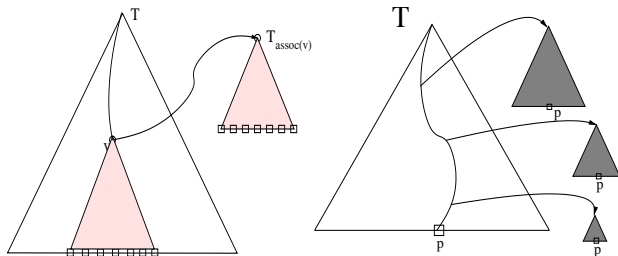
# RANGE SEARCHING IN THE PLANE USING RANGE TREES



Given a 2-d rectangle query  $[a, b] \times [c, d]$ , we can identify subtrees whose leaf nodes are in the range  $[a, b]$  along the X-direction.

Only a subset of these leaf nodes lie in the range  $[c, d]$  along the Y-direction.

# RANGE SEARCHING IN THE PLANE USING RANGE TREES



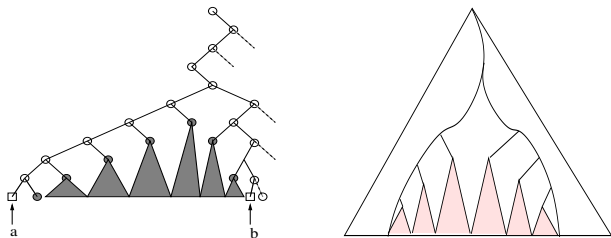
$T_{assoc(v)}$  is a binary search tree on y-coordinates for points in the leaf nodes of the subtree rooted at  $v$  in the tree  $T$ .

The point  $p$  is duplicated in  $T_{assoc(v)}$  for each  $v$  on the search path for  $p$  in tree  $T$ .

The total space requirements is therefore  $O(n \log n)$ .



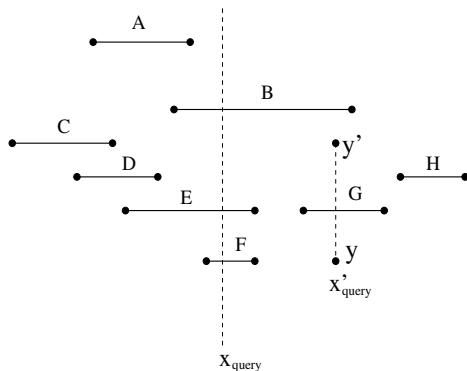
# RANGE SEARCHING IN THE PLANE USING RANGE TREES



We perform 1-d range queries with the  $y$ -range  $[c, d]$  in each of the subtrees adjacent to the left and right search paths for the  $x$ -range  $[a, b]$  in the tree  $T$ .

Since the search path is  $O(\log n)$  in size, and each  $y$ -range query requires  $O(\log n)$  time, the total cost of searching is  $O(\log^2 n)$ . The reporting cost is  $O(k)$  where  $k$  points lie in the query rectangle.

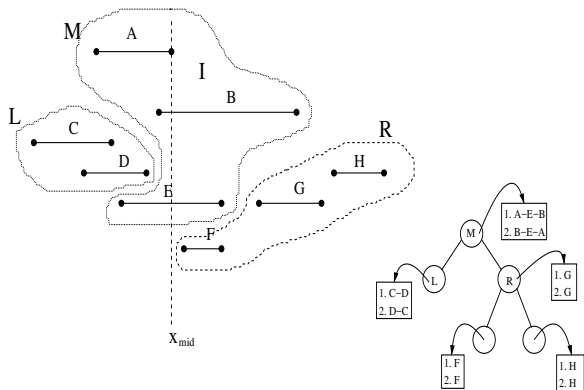
# FINDING INTERVALS CONTAINING A QUERY POINT



Simpler queries ask for reporting all intervals intersecting the vertical line  $X = x_{query}$ .

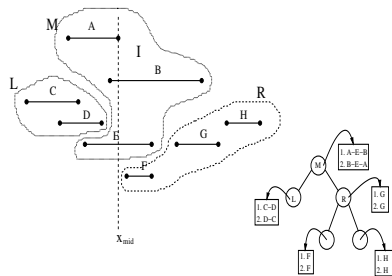
More difficult queries ask for reporting all intervals intersecting a vertical segment joining  $(x'_{query}, y)$  and  $(x'_{query}, y')$ .

# COMPUTING THE INTERVAL TREE



The set  $M$  has intervals intersecting the vertical line  $X = x_{mid}$ , where  $x_{mid}$  is the median of the  $x$ -coordinates of the  $2n$  endpoints. The root node has intervals  $M$  sorted in two independent orders (i) by right end points ( $B-E-A$ ), and (ii) left end points ( $A-E-B$ ).

# ANSWERING QUERIES USING AN INTERVAL TREE



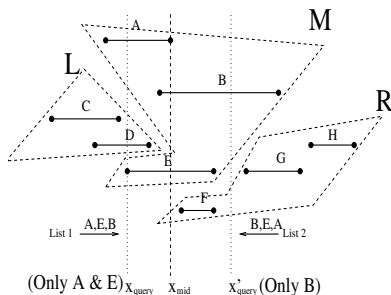
The set  $L$  and  $R$  have at most  $n$  endpoints each.

So they have at most  $\frac{n}{2}$  intervals each.

Clearly, the cost of (recursively) building the interval tree is  $O(n \log n)$ .

The space required is linear.

# ANSWERING QUERIES USING AN INTERVAL TREE

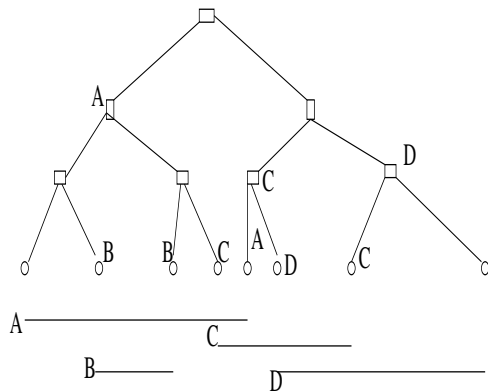


For  $x_{query} < x_{mid}$ , we do not traverse subtree for subset  $R$ .

For  $x'_{query} > x_{mid}$ , we do not traverse subtree for subset  $L$ .

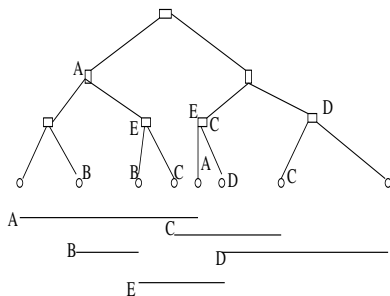
Clearly, the cost of reporting the  $k$  intervals is  $O(\log n + k)$ .

## INTRODUCING THE SEGMENT TREE



For an interval which spans the entire range  $inv(v)$ , we mark only internal node  $v$  in the segment tree, and not any descendant of  $v$ . We never mark any ancestor of a marked node.

# REPRESENTING INTERVALS IN THE SEGMENT TREE

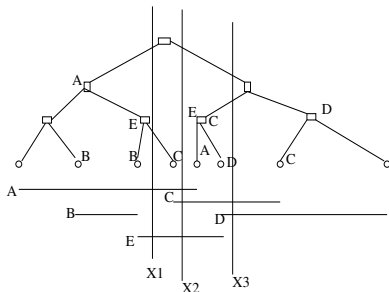


At each level, at most two internal nodes are marked for any given interval.

Along a root to leaf path an interval is stored only once.

The space requirement is therefore  $O(n \log n)$ .

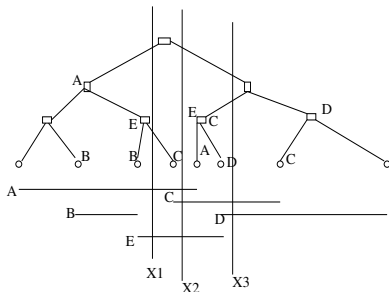
# REPORTING INTERVALS CONTAINING A GIVEN QUERY POINT



- ▶ Search the path in the tree reaching the leaf for the given query point.

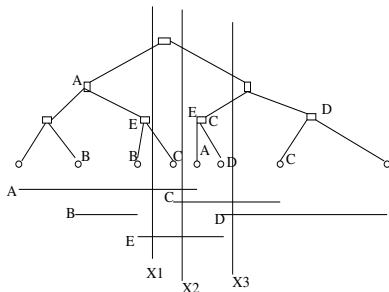


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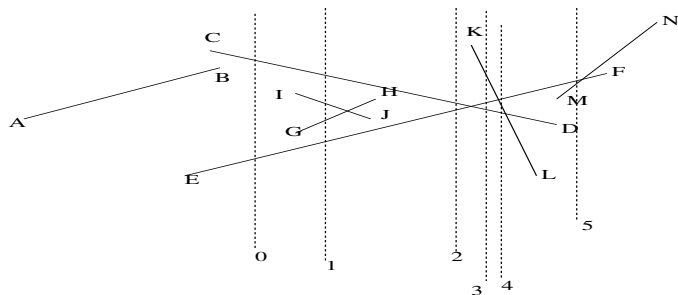
- ▶ Search the path in the tree reaching the leaf for the given query point.
- ▶ Report all intervals that appear stored on the search path.

# REPORTING INTERVALS CONTAINING A GIVEN QUERY POINT



- ▶ Search the path in the tree reaching the leaf for the given query point.
- ▶ Report all intervals that appear stored on the search path.
- ▶ If  $k$  intervals contain the query point then the cost incurred is  $O(\log n + k)$ .

## REPORTING SEGMENTS INTERSECTIONS



Problem: Given a set  $S$  of  $n$  line segments in the plane, report all intersections between the segments.

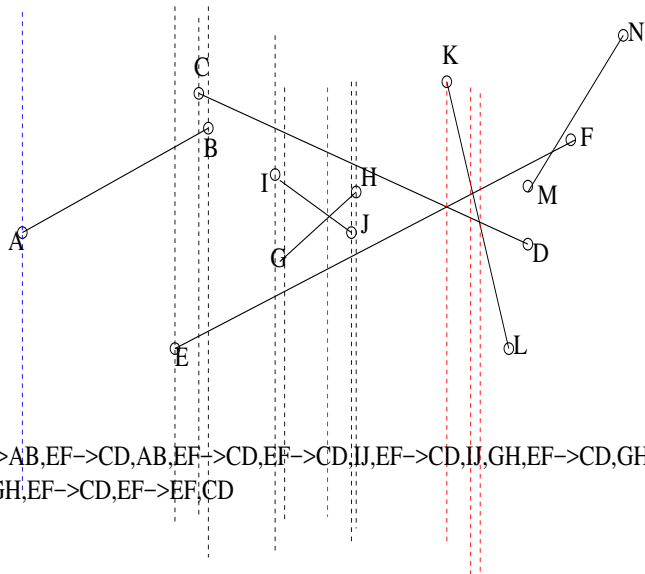
Check all pairs in  $O(n^2)$  time.

A vertical line just before any intersection meets intersecting segments in an empty, intersection-free segment.

Detect intersections by checking consecutive pairs of segments along a vertical line.

This way, each intersection point can be detected.

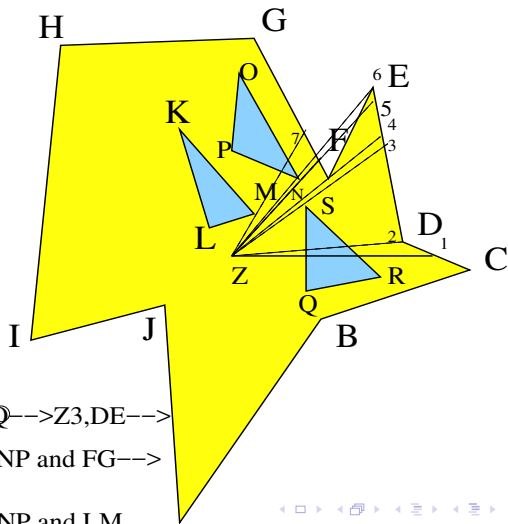
# SWEEPING STEPS: ENDPPOINTS AND INTERSECTION POINTS



$AB \rightarrow AB, EF \rightarrow CD, AB, EF \rightarrow CD, EF \rightarrow CD, IJ, EF \rightarrow CD, IJ, GH, EF \rightarrow CD, GH, IJ, EF$   
 $CD, GH, EF \rightarrow CD, EF \rightarrow EF, CD$

# STEP 1

SQ,SR,DC,1-->SQ,SR,DE,2-->DE,3--  
FG,FE,DE,4-->NP,NO,FG,FE,DE,5-->  
NP,NO,FG,FE,DE,6-->LM,MK,NP,NO,FG,7

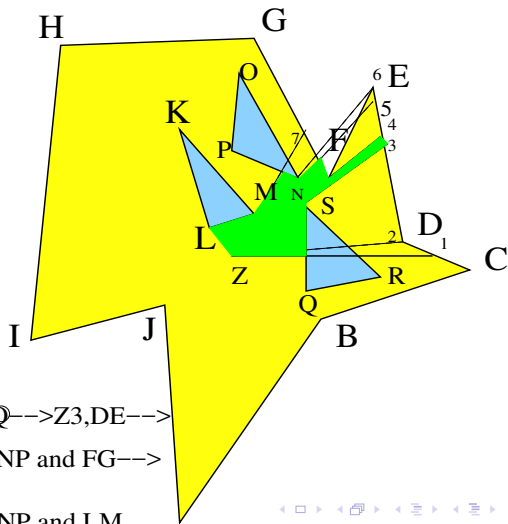


Z1 ,SQ-->Z2,SQ-->Z3,DE-->  
Z4,FG and DE-->Z5,NP and FG-->

Z6 NP-->Z7 NP and I M

## STEP 2

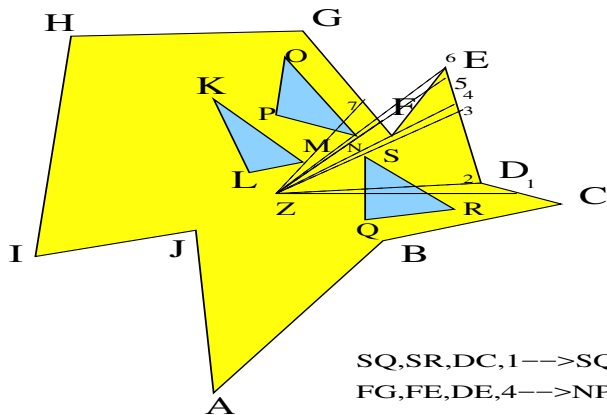
SQ,SR,DC,1-->SQ,SR,DE,2-->DE,3--  
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NP,NO,FG,FE,DE,6-->LM,MK,NP,NO,FG,7



Z1 ,SQ-->Z2,SQ-->Z3,DE-->  
Z4,FG and DE-->Z5,NP and FG-->

Z6 NP-->Z7 NP and I M

# STEP 3



SQ,SR,DC,1 $\rightarrow$ SQ,SR,DE  
 FG,FE,DE,4 $\rightarrow$ NP,NO,FC  
 NP,NO,FG,FE,DE,6 $\rightarrow$ LM

Z1 ,SQ $\rightarrow$ Z2,SQ $\rightarrow$ Z3,DE $\rightarrow$ Z4,FG and DE $\rightarrow$ Z5,NP and FG $\rightarrow$ Z6,NP $\rightarrow$ Z7, NP and LM

## MANY FACES COMPLEXITY IN AN ARRANGEMENT OF LINES IN THE PLANE.

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- ▶ The forbidden subgraph is  $K_{2,5}$ . Using the result by Kovari, Sos and Turan (Theorem 9.6 in [4]) for such forbidden component subgraphs, we get the above loose upper bound. See Pach and Agarwal [4], for a proof of the Kovari, Sos and Turan result.

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- ▶ This is indeed possible and we show this later using combinatorial arguments; this is a technical result of independent and deep import.

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- ▶ Now, by setting  $r = \min(n, \frac{m^{\frac{2}{3}}}{n^{\frac{1}{3}}})$  we get  $nr = (mn)^{\frac{2}{3}}$  and therefore,  $K(m, n) = O(m^{\frac{2}{3}}n^{\frac{2}{3}} + n)$ .

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- ▶ The complete graph  $K_4$  of four vertices has crossing number 0 as well. In every planar embedding, the graph  $K_5$  has at least one pair of edges crossing. Hence, it is a non-planar graph.  $K_{3,3}$  also has crossing number 1.

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- ▶ Hence, for any simple planar graph with  $|V| = n \geq 3$  vertices, we have  $|E| = |V| + |F| - 2 \leq |V| + (2/3)|E| - 2$  or  $|E| \leq 3n - 6$ , implying that it has at most  $3n$  edges.

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- ▶ Therefore, the crossing number of any simple graph with  $n$  vertices and  $m$  edges is at least  $m - 3n$ .

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- ▶ Therefore, we have  $p^4t \geq p^2|E| - 3p|V|$ , implying  $t \geq |E|/p^2 - 3|V|/p^3$ .
- ▶ Substituting  $p = 4|V|/|E|$ , which is less than one, we get the result.

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